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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

SMI/0004.01

First Inventor

Kucherauw

Title

E-Mail System with Methodology for Accelerating
Mass Mailings

Express Mail Label No.

EF062712006US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Express Mail Certificate

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information: Examiner _____

Group / Art Unit: _____


For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

28653

or ☐ Correspondence address below

Name	John A. Smart				
Address	708 Blossom Hill Rd., #201				
City	Los Gatos	State	CA	Zip Code	95032-3503
Country	U.S.A.	Telephone	(408) 395-8819	Fax	(408) 490-2853

Name (Print/Type)	John A. Smart	Registration No. (Attorney/Agent)	34,929
Signature			Date 05/22/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kucherauw
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	SMI/0004.01

TOTAL AMOUNT OF PAYMENT	(\$)	400.00
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METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	501370
Deposit Account Name	Sendmail, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status See 37 CFR 1.27

2. ☐ **Payment Enclosed:**

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Measurement of Revenue	Revenue is measured at the fair value of the consideration received or receivable, adjusted for discounts, allowances, and other adjustments.	Revenue is measured at the fair value of the consideration received or receivable, adjusted for discounts, allowances, and other adjustments.
3. Cost of Sales	Cost of sales is measured at the cost of the goods or services sold, including the cost of materials, labor, and overheads.	Cost of sales is measured at the cost of the goods or services sold, including the cost of materials, labor, and overheads.
4. Impairment of Financial Assets	Financial assets are measured at amortized cost, and impairment is recognized when there is a significant increase in credit risk.	Financial assets are measured at amortized cost, and impairment is recognized when there is a significant increase in credit risk.
5. Impairment of Non-Financial Assets	Non-financial assets are measured at cost less accumulated depreciation and impairment, and impairment is recognized when the carrying amount exceeds the recoverable amount.	Non-financial assets are measured at cost less accumulated depreciation and impairment, and impairment is recognized when the carrying amount exceeds the recoverable amount.
6. Provisions and Contingent Liabilities	Provisions and contingent liabilities are measured at the best estimate of the amount required to settle the obligation, taking into account the time value of money and the risk of non-settlement.	Provisions and contingent liabilities are measured at the best estimate of the amount required to settle the obligation, taking into account the time value of money and the risk of non-settlement.
7. Financial Instruments	Financial instruments are measured at fair value, and impairment is recognized when there is a significant increase in credit risk.	Financial instruments are measured at fair value, and impairment is recognized when there is a significant increase in credit risk.
8. Share-based Payments	Share-based payments are measured at the fair value of the equity instrument issued, and the expense is recognized over the vesting period.	Share-based payments are measured at the fair value of the equity instrument issued, and the expense is recognized over the vesting period.
9. Income Tax	Income tax is measured at the best estimate of the amount payable, taking into account the time value of money and the risk of non-payment.	Income tax is measured at the best estimate of the amount payable, taking into account the time value of money and the risk of non-payment.
10. Other	Other items are measured at the best estimate of the amount payable, taking into account the time value of money and the risk of non-payment.	Other items are measured at the best estimate of the amount payable, taking into account the time value of money and the risk of non-payment.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)	(\$)	355.00
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2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	25	-20** = 5	9.00 = 45.00
Independent Claims	3	-3** = 0	40.00 = 0.00
Multiple Dependent			

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Leases	Leases are classified as either finance leases or operating leases. Finance leases are treated as sales, while operating leases are treated as rentals.	Leases are classified as either finance leases or operating leases. Finance leases are treated as sales, while operating leases are treated as rentals.
3. Intangible Assets	Intangible assets are recognized when they are acquired and their fair value can be reliably measured. They are then amortized over their useful life.	Intangible assets are recognized when they are acquired and their fair value can be reliably measured. They are then amortized over their useful life.
4. Goodwill	Goodwill is recognized as the excess of the purchase price over the fair value of the identifiable intangible assets. It is not amortized but is tested for impairment annually.	Goodwill is recognized as the excess of the purchase price over the fair value of the identifiable intangible assets. It is not amortized but is tested for impairment annually.
5. Derivatives	Derivatives are recognized at fair value and are measured at fair value at each reporting date. They are classified as either financial assets or financial liabilities.	Derivatives are recognized at fair value and are measured at fair value at each reporting date. They are classified as either financial assets or financial liabilities.
6. Financial Instruments	Financial instruments are recognized at fair value and are measured at fair value at each reporting date. They are classified as either financial assets or financial liabilities.	Financial instruments are recognized at fair value and are measured at fair value at each reporting date. They are classified as either financial assets or financial liabilities.
7. Provisions	Provisions are recognized when there is a present obligation arising from past events, the settlement of which is expected to result in an outflow of resources. They are measured at the best estimate of the amount required to settle the obligation.	Provisions are recognized when there is a present obligation arising from past events, the settlement of which is expected to result in an outflow of resources. They are measured at the best estimate of the amount required to settle the obligation.
8. Share-based Payments	Share-based payments are recognized when the entity receives services from employees or directors in exchange for equity instruments. They are measured at the fair value of the equity instruments at the date of the transaction.	Share-based payments are recognized when the entity receives services from employees or directors in exchange for equity instruments. They are measured at the fair value of the equity instruments at the date of the transaction.
9. Financial Reporting	Financial reporting is required to be prepared in accordance with the applicable financial reporting framework. It is the responsibility of the entity's management to ensure that the financial statements are prepared in accordance with the applicable framework.	Financial reporting is required to be prepared in accordance with the applicable financial reporting framework. It is the responsibility of the entity's management to ensure that the financial statements are prepared in accordance with the applicable framework.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$) 45.00
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***or number previously paid, if greater; For Reissues, see above*

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is typically when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is typically when control of the goods or services is transferred to the customer.
2. Expense Recognition	Expenses are recognized when the related asset is consumed or the liability is incurred, which is typically when the expense is incurred.	Expenses are recognized when the related asset is consumed or the liability is incurred, which is typically when the expense is incurred.
3. Asset Recognition	Assets are recognized when the entity has control over the resource, which is typically when the asset is acquired.	Assets are recognized when the entity has control over the resource, which is typically when the asset is acquired.
4. Liability Recognition	Liabilities are recognized when the entity has an obligation to transfer resources, which is typically when the liability is incurred.	Liabilities are recognized when the entity has an obligation to transfer resources, which is typically when the liability is incurred.
5. Equity Recognition	Equity is recognized when the entity has a residual interest in the assets, which is typically when the equity is issued.	Equity is recognized when the entity has a residual interest in the assets, which is typically when the equity is issued.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

Other fee (specify) _____

SUBTOTAL (3)	(\$)
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SUBMITTED BY

Name (Print/Type)	John A. Smart
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Signature

Registration No. (Attorney/Agent)	34,929
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Complete (if applicable)

Telephone	(408) 395-8819
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Date	05/22/2001
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